



PTO/SB/08a/b (07-05)

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Substitute for form 1449A/B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)		Complete if Known			
		Application Number	10/813871-Conf. #5569		
		Filing Date	March 31, 2004		
		First Named Inventor	Adam J. Ferrari		
		Art Unit	2161 2163		
		Examiner Name	S. Metjahic		
Sheet	1	of	3	Attorney Docket Number	0109878.00141US1

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (# known)			
HV	AA*	US-4,775,935	10-04-1988	Yourick	
	AB*	US-4,868,733	09-19-1989	Fujisawa et al.	
	AC*	US-5,241,671	08-31-1993	Reed et al.	
	AD*	US-5,418,717	05-23-1995	Su et al.	
	AE*	US-5,418,951	05-23-1995	Damashek	
	AF*	US-5,440,742	08-08-1995	Schwanke	
	AG*	US-5,485,621	01-16-1996	Schwanke	
	AH*	US-5,544,049	08-06-1996	Henderson et al.	
	AI*	US-5,546,576	08-13-1996	Cochrane et al.	
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	AK*	US-5,590,269	12-31-1996	Kruse et al.	
	AL*	US-5,600,829	02-04-1997	Tsatalos et al.	
	AM*	US-5,630,125	05-13-1997	Zellweger	
	AN*	US-5,634,128	05-27-1997	Messina	
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	AP*	US-5,696,916	12-09-1997	Yamazaki et al.	
	AQ*	US-5,724,571	03-03-1998	Woods	
	AR*	US-5,740,425	04-14-1998	Povilus	
	AS*	US-5,749,081	05-05-1998	Whiteis	
	AT*	US-5,764,975	06-09-1998	Taniguchi et al.	
	AU*	US-5,812,998	09-22-1998	Tsutsumi et al.	
	AV*	US-5,835,905	11-10-1998	Pirolli et al.	
	AW*	US-5,852,822	12-22-1998	Srinivasan et al.	
	AX*	US-5,864,845	01-26-1999	Voorhees et al.	
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	AZ*	US-5,864,863	01-26-1999	Burrows	
	AA1*	US-5,870,746	02-09-1999	Knutson et al.	
	AB1*	US-5,873,075	02-16-1999	Cochrane et al.	
	AC1*	US-5,875,440	02-23-1999	Cooperman et al.	
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	AF1*	US-5,893,104	04-06-1999	Srinivasan et al.	
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	AH1*	US-5,897,639	04-27-1999	Greef et al.	
	AI1*	US-5,920,859	07-06-1999	Li	
	AJ1*	US-5,926,811	07-20-1999	Miller et al.	
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	AN1*	US-5,970,489	10-19-1999	Jacobson et al.	
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	AQ1*	US-5,978,799	11-02-1999	Hirsch	
	AR1*	US-5,983,223	11-09-1999	Perlman	
HV	AS1*	US-5,986,655	11-16-1999	Chiu et al.	
Examiner Signature	/Hung Vy/			Date Considered	10/01/2006

5568779

US1DOCS 5568779v1

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				Art Unit	2161
				Examiner Name	S. Metjahic
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HV	AT1*	US-5,987,468	11-16-1999	Singh et al.	
	AU1*	US-5,987,470	11-16-1999	Meyers et al.	
	AV1*	US-5,991,756	11-23-1999	Wu	
	AW1	US-6,006,217	12-21-1999	Lumsden	
	AX1*	US-6,006,218	12-21-1999	Breese et al.	
	AY1*	US-6,006,222	12-21-1999	Culliss	
	AZ1*	US-6,006,225	12-21-1999	Bowman et al.	
	AA2*	US-6,009,424	12-28-1999	Lepage et al.	
	AB2*	US-6,012,006	01-04-2000	Ohneda et al.	
	AC2*	US-6,014,639	01-11-2000	Fohn et al.	
	AD2*	US-6,014,655	01-11-2000	Fujiwara et al.	
	AE2*	US-6,014,657	01-11-2000	Weida et al.	
	AF2*	US-6,014,665	01-11-2000	Culliss	
	AG2*	US-6,028,605	02-22-2000	Conrad et al.	
	AH2*	US-6,029,195	02-22-2000	Herz	
	AI2*	US-6,038,560	03-14-2000	Wical	
	AJ2*	US-6,038,574	03-14-2000	Pitkow et al.	
	AK2*	US-6,070,162	05-30-2000	Miyasaka et al.	
	AL2*	US-6,112,186	08-29-2000	Bergh et al.	
	AM2*	US-6,144,958	11-07-2000	Ortega et al.	
	AN2*	US-6,236,985	05-22-2001	Aggarwal et al.	
	AO2*	US-6,240,378	05-29-2001	Imanaka et al.	
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	AQ2*	US-6,243,713	06-05-2001	Nelson et al.	
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	AS2*	US-6,269,368	07-31-2001	Diamond	
	AT2*	US-6,272,507	08-07-2001	Pirolli et al.	
	AU2*	US-6,289,354	09-11-2001	Aggarwal et al.	
	AV2*	US-6,301,577	10-09-2001	Matsumoto et al.	
	AW2	US-6,317,741	11-13-2001	Burrows	
	AX2*	US-6,339,767	01-15-2002	Rivette et al.	
	AY2*	US-6,385,602	05-07-2002	Tso et al.	
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	AC3*	US-6,611,825	08-26-2003	Billheimer et al.	
	AD3*	US-6,633,868	10-14-2003	Min et al.	
	AE3*	US-6,647,391	11-11-2003	Smith et al.	
	AF3*	US-6,697,801	02-24-2004	Eldredge et al.	
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	AH3*	US-6,763,351	07-13-2004	Subramaniam et al.	
	AJ3*	US-6,845,354	01-18-2005	Kuo et al.	
	AJ3*	US-6,853,982	02-08-2005	Smith et al.	
	AK3	US-US-2002/0147703	10-10-2002	Yu, et al.	
HV	AL3	US-US-2002/0152204	10-17-2002	Ortega, et al.	

Examiner Signature	/Hung Vy/	Date Considered	10/01/2006
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		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				

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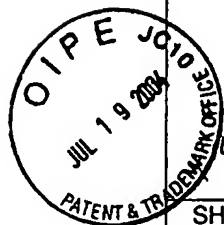
NON PATENT LITERATURE DOCUMENTS						
Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.				T ²
HV	CA	Amato, et al., "Region proximity in metric spaces and its use for approximate similarity search", ACM Trans. In. System, (2003), Vol. 21(2), pp. 192-227				
	CB	BERGSTROM, "A family of delphi components for case-based reasoning", Proceedings 11th IEEE International Conference on Chicago, (1999), pp. 153-160				
	CC	BEYER et al., "When is 'Nearest Neighbor' meaningful", Proceedings of the 7th International Conference on Database Theory", (1999)				
	CD	DIAMANTINI et al., "A conceptual indexing method for content-based retrieval", Database and Expert Systems Applications. Proceedings Tenth Workshop on Florence Italy, (1999), pp. 192-197				
	CE	GUHA et al., "ROCK: A robust clustering algorithm for categorical attributes", Data Engineering Proceedings 15th International Conference on Sidney, (1999), pp. 512-521				
	CF	GUTTMAN, "R-Trees: A dynamic index structure for spatial searching", Proceedings of the ACM SIG-MOD Conference, (1984)				
	CG	HAN-JOON, K. et al., "An effective document clustering method using user-adaptable distance metrics.", SAC, (2002), 16-20				
	CH	HINNEBURG et al., "What is the nearest neighbor in high dimensional spaces", Proceedings of the 26th VLDB Conference, (2000)				
	CI	HONGYAN JING, "Information retrieval based on context distance and morphology", Proceedings of the 22nd annual international ACM SIGIR conference on Research and Development in information retrieval, pp. 90-96, August 1999				
	CJ	MILLER et al., "DataWeb: Customizable Database Publishing for the Web" IEEE Multimedia, 4(4):14-21(1997)				
	CK	MILLER et al., "Integrating Hierarchical Navigation and Querying: A User Customizable Solution" ACM Multimedia Workshop on Effective Abstractions in Multimedia Layout, Presentation, and Interaction, San Francisco, CA, November (1995).				
	CL	PI-SHENG, "Using case-based reasoning for decision support", Proceedings of the 27th Annual Hawaii International Conference on System Sciences, (1994), pp. 552-561				
	CM	SALTON et al., "Term-weighting approaches in automatic test retrieval", Information Processing & Management, (1988), Vol. 24(5), pp. 513-523				
HV	CN	Yahoo 1996 (Exhibit 12-16)				

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Examiner Signature	/Hung Vy/	Date Considered	10/01/2006
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US100CS 5566779v1



WILMER CUTLER PICKERING HALE AND DORR LLP SUBT. FORM PTO-1449				DOCKET NUMBER 109878.141	APPLICATION NUMBER 10/813,871
INFORMATION DISCLOSURE IN AN APPLICATION (USE SEVERAL SHEETS IF NECESSARY)				APPLICANT Ferrari et al.	
				FILING DATE March 31, 2004	GROUP ART UNIT 2163 2171
SHEET	1	OF	3		

U.S. Patent Documents						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
HV	5,418,948	5/1995	Turtle	395	600	
HV	5,644,740	7/1997	Kiuchi	395	357	
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HV	5,768,578	2/1995	Kirk et al.	395	611	
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HV	6,480,843	11/2002	Li	707	5	
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EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
HV	0 694 829 A1	1/1996	EP				
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Other Documents (Including Author, Title, Date Pertinent Pages, Etc.)		
HV	A1	Agosti, M., et al., "Issues of Data Modelling in Information Retrieval," <i>Electronic Publishing</i> , Vol. 4 (4) pp. 219-237 (1991).
HV	A2	Allen, R.B., "Two Digital Library Interfaces That Exploit Hierarchical Structure," <i>Electronic Publishing</i> , (1995).
HV	A3	Allen, R.B., "Retrieval From Facet Spaces," <i>Electronic Publishing</i> , Vol. 8 (2&3), pp. 247-257 (1995).

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U.S. Patent Documents						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
HV	2001/0044758	11/2001	Talib et al.	705	27	
	2001/0044837	11/2001	Talib et al.	709	219	
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	2001/0049674	12/2001	Talib et al.	707	1	
	2001/0049677	12/2001	Talib et al.	707	3	
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HV	6,035,294	3/2000	Fish	707	2	

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EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
HV	WO 00/36529	6/2000	PCT				
HV	WO 01/67300	9/2001	PCT				

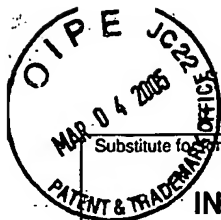
Other Documents (Including Author, Title, Date Pertinent Pages, Etc.)		
HV	A4	Baeza-Yates, et al., "New Approaches to Information Management: Attribute-Centric Data Systems" <i>Proceedings Seventh International Symposium on String Processing and Information Retrieval</i> , pp. 17-27 (2000).
	A5	Hearst, M., et al., "Cat-a Cone: An Interactive Interface for Specifying Searches and Viewing Retrieval Results Using A Large Category Hierarchy," <i>Ann. Int. ACM-SIGIR Conf. On Res. And Dev. In Information Retrieval</i> , pp. 270-279 (1993).
	A6	Pedersen, G.S., "A Browser For Bibliographic Information Retrieval Based On An Application Of Lattice Theory," <i>Proc. of the Ann. Int. SCM SIGIR Conference on Res. And Dev. In Information Retrieval</i> , pp. 270-279 (1993).
	A7	Pollitt, et al., "HIBROWSE For Bibliographic Databases," <i>Journal of Information Science</i> , Vol. 20, (6), pp. 413-426 (1994).
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		<i>Online Information Meeting Proceedings</i> , pp. 433-445 (1996).
HV	A9	Story, G. A., et al., "The RightPages Image-Based Electronic Library for Alerting and Browsing," <i>Computer</i> , Vol. 25(9), pp. 17-25 (1992).
HV	A10	Yahoo!, Copyright 1999 Yahoo! Inc., < http://web.archive.org/web/19991116151212/http://www.yahoo.com/ >
HV	A11	PriceSCAN.com, Your Unbiased Guide to the Lowest Price on Books, Computers, Electronic..., Copyright 1997-1999, < http://web.archive.org/web/19991117123352/http://www.pricescan.com/ >, pages 1-8

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HV		4,879,648	11/7/1989	Cochran, et al.	
HV		5,206,949	4/27/1993	Cochran, et al.	
HV		5,768,581	6/16/1998	Cochran	
HV		6,345,273	2/5/2002	Cochran	
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		Number-Kind Code ² (if known)			
HV		EP 0 597 630 A1	5/18/1994	Conquest Software, Inc.	
HV		WO 03/027902 A1	4/3/2003	Endeca Technologies, Inc.	

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WILMER CUTLER PICKERING HALE AND DORR LLP SUBT. FORM PTO-1449				DOCKET NUMBER 109878.141	APPLICATION NUMBER 10/813,871
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HV	6,360,227 B1	03/19/2002	Aggarwal et al.	707	102	01/29/1999
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HV	US2002/0083039	6/27/02	Ferrari, et al.	707	1	10/31/02
HV	US2002/0095405	7/18/02	Fujiwara	707	3	1/18/01
HV	6,226,745	5/1/01	Wiederhold	713	200	
HV	6,466,918	10/15/02	Spiegel, et al	705	27	
HV	6,266,649	7/24/01	Linden, et al.	705	26	

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HV	0 827 063 A1	3/4/98	EP				
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